SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person* (Check all applicable) Datadog, Inc. DDOG **Agarwal** Amit Director 10% Owner Officer (give title Other (specify Х below) below) 3. Date of Earliest Transaction (Month/Day/Year) (Middle) (Last) (First) **Chief Product Officer** 10/21/2020 C/O DATADOG. INC. 620 8TH AVENUE, 45TH FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Х Form filed by One Reporting Person NEW YORK 10018 NY Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 5. Transaction Code (Instr. 8) Form: Direct (D) or Indirect (I) (Instr. 4) Indirect Beneficial Execution Date Securitie if any (Month/Day/Year) (Month/Day/Year) Beneficially Owned Following Ownership Reported Transaction(s) (Instr. 3 and 4) (Instr. 4) (A) or (D) v Price Code Amount Common A Common Stock 10/21/2020 **C**⁽¹⁾ 151,617 Α \$0.00⁽¹⁾ 285,879 D By **C**⁽¹⁾ 10/21/2020 10,000 **\$0.00**⁽¹⁾ Common A Common Stock A 10,000 I Spouse⁽²⁾ Bv **C**⁽¹⁾ Common A Common Stock 10/21/2020 212,267 A **\$0.00**⁽¹⁾ 212,267 I **GRAT**⁽³⁾ Bv Common A Common Stock 10/21/2020 **C**⁽¹⁾ 151,797 Α \$0.00⁽¹⁾ 151,797 T **GRAT**⁽⁴⁾ Common A Common Stock 10/21/2020 (5) 2,300 D \$101.3883(6) 283,579 D Common A Common Stock 10/21/2020 **c**(5) 39,535 \$102.3845(7) D 244,044 D <mark>S</mark>(5) 65.501 D \$103.3305(8) Common A Common Stock 10/21/2020 178,543 D 10/21/2020 s⁽⁵⁾ Common A Common Stock 7.217 D \$104.0662(9) 171.326 D 10/21/2020 **S**⁽⁵⁾ 12.028 D \$105.4025(10) Common A Common Stock 159,298 D Common A Common Stock 10/21/2020 **S**(5) 6,703 D \$106.7919(11) 152,595 D s⁽⁵⁾ Common A Common Stock 10/21/2020 6,251 D \$107.7738(12) 146,344 D **S**⁽⁵⁾ 10,082 D \$108.5857(13) Common A Common Stock 10/21/2020 136,262 D **S**⁽⁵⁾ 2,000 \$109.6085(14) Common A Common Stock 10/21/2020 D 134,262 D Bv **c**(5) Common A Common Stock 10/21/2020 100 D \$100.76 9,900 T Spouse⁽²⁾ Bv s⁽⁵⁾ Common A Common Stock 10/21/2020 1,756 D \$102.3379(15) 8,144 T Spouse⁽²⁾ By <mark>S</mark>(5) \$103.3265(16) Common A Common Stock 10/21/2020 3,550 D 4.594 I Spouse⁽²⁾ Bv s⁽⁵⁾ Common A Common Stock 10/21/2020 450 D \$104.0167(17) 4,144 T Spouse⁽²⁾ By **c**(5) Common A Common Stock 10/21/2020 500 D \$105.445(18) 3,644 I Spouse⁽²⁾ Bv 10/21/2020 **S**(5) 900 D \$107.2029(19) 2,744 Common A Common Stock T Spouse⁽²⁾ By <mark>s</mark>(5) Common A Common Stock 10/21/2020 1,900 D \$108.2973(20) 844 I Spouse⁽²⁾ Bv **S**⁽⁵⁾ \$109.2498(21) 100 Common A Common Stock 10/21/2020 744 D I Spouse⁽²⁾ By Common A Common Stock 10/21/2020 **s**(5) 100 D \$110.11 0 I Spouse⁽²⁾ Bv s⁽⁵⁾ \$101.505(22) Common A Common Stock 10/21/2020 4.000 208.267 D T GRAT⁽³⁾

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acquired (A) or Dispos Saction (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		57,038	D	\$102.4162(23)	151,229	Ι	By GRAT ⁽³⁾		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		101,230	D	\$103.3601 ⁽²⁴⁾	49,999	Ι	By GRAT ⁽³⁾		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		12,420	D	\$104.1233(25)	37,579	Ι	By GRAT ⁽³⁾		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		12,539	D	\$105.4144 ⁽²⁶⁾	25,040	Ι	By GRAT ⁽³⁾		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		6,262	D	\$106.7728 ⁽²⁷⁾	18,778	Ι	By GRAT ⁽³⁾		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		6,008	D	\$107.6839(28)	12,770	Ι	By GRAT ⁽³⁾		
Common A Common Stock	10/21/2020		S ⁽⁵⁾		10,520	D	\$108.5216(29)	2,250	Ι	By GRAT ⁽³⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deri Sec Acq or D of (I	umber of vative urities uired (A) isposed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Class B Common Stock	(1)	10/21/2020		С			151,617	(1)	(1)	Class A Common Stock	151,617	\$0.00	949,607	D	
Class B Common Stock	(1)	10/21/2020		С			10,000	(1)	(1)	Class A Common Stock	10,000	\$0.00	353,166	I	By Spouse ⁽²⁾
Class B Common Stock	(1)	10/21/2020		С			212,267	(1)	(1)	Class A Common Stock	212,267	\$0.00	1,300,000	I	By GRAT ⁽³⁾
Class B Common Stock	(1)	10/21/2020		С			151,797	(1)	(1)	Class A Common Stock	151,797	\$0.00	1,272,565	Ι	By GRAT ⁽⁴⁾

Explanation of Responses:

1. Each share of Class B Common Stock is convertible at any time at the option of the Reporting Person into one share of Class A Common Stock and has no expiration date. Each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon the earliest of: (i) any transfer, whether or not for value, except for certain "Permitted Transfers" as defined in the Issuer's amended and restated certificate of incorporation, (ii) the death of the Reporting Person in the case of shares held directly or in a trustee capacity, and (iii) the tenth anniversary of the Issuer's initial public offering of its Class A Common Stock.

2. Shares are held by Reporting Person's spouse.

3. Shares are held directly by Amit Agarwal 2019 GRAT, of which the Reporting Person is Trustee.

4. Shares are held directly by Amit Agarwal 2018 GRAT, of which the Reporting Person is Trustee.

5. Shares sold pursuant to a 10b5-1 plan entered into on December 13, 2019.

6. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$100.83 to \$101.79. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

7. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$101.84 to \$102.83. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

8. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$102.84 to \$103.83. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

9. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$103.84 to \$104.5975. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

10. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$105.11 to \$105.95. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

11. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$106.18 to \$107.14. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

12. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$107.18 to \$108.17. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$108.18 to \$109.13. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
 Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$109.19 to \$110.05. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the security holder of the SEC.

Issuer, full information regarding the number of shares sold at each separate price. 15. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$101.785 to \$102.78. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of

the Issuer, full information regarding the number of shares sold at each separate price.

16. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$102.81 to \$103.80. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

17. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$103.81 to \$104.525. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

18. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$105.20 to \$105.73. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

19. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$106.82 to \$107.79. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

20. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$107.82 to \$108.81. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

21. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$108.88 to \$109.77. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

22. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$100.87 to \$101.86. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the

Issuer, full information regarding the number of shares sold at each separate price.

23. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$101.87 to \$102.86. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

24. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$102.87 to \$103.86. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

25. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$103.87 to \$104.74. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

26. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$105.09 to \$105.97. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

27. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$106.14 to \$107.11. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

28. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$107.14 to \$108.13. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

29. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$108.14 to \$109.13. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

Remarks:

Part 1 of 2. Reporting Person is reporting on two separate Form 4 filings as the aggregate number of line items exceeds the maximum number that can be included in one report.

<u>Amit Agarwal, by /s/ Ron A.</u> <u>Metzger, Attorney-in-Fact</u> <u>10/23/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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